



## City and County of Swansea

### Notice of Meeting

You are invited to attend a Meeting of the

## External Funding Panel

**At:** Committee Room 5 - Guildhall, Swansea

**On:** Wednesday, 5 June 2019

**Time:** 2.00 pm

**Chair:** Councillor Rob Stewart

**Membership:**

Councillors: M C Child, R Francis-Davies, D H Hopkins, E J King, A S Lewis, C E Lloyd, A Pugh, J A Raynor and M Thomas

Also Invited: S Pritchard, A H Stevens

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### Agenda

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| <b>1</b> | <b>Apologies for Absence.</b>   |              |
| <b>2</b> | <b>Disclosures of Personal and Prejudicial Interests.</b><br><a href="http://www.swansea.gov.uk/disclosuresofinterests">www.swansea.gov.uk/disclosuresofinterests</a> |              |
| <b>3</b> | <b>Minutes:</b><br>To approve & sign the Minutes of the previous meeting(s) as a correct record.  | <b>1 - 2</b> |
| <b>4</b> | <b>Innovative Housing Programme Phase 3 - To Support the Delivery of 20,000 New Affordable Homes in Wales.</b>  | <b>3 - 9</b> |

**Next Meeting:** Wednesday, 3 July 2019 at 2.00 pm

A handwritten signature in black ink that reads 'Huw Evans'.

**Huw Evans**  
**Head of Democratic Services**  
**Wednesday, 29 May 2019**

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**Contact: Democratic Services - 01792 636923**

# Agenda Item 3



City and County of Swansea

## Minutes of the **External Funding Panel**

Committee Room 5 - Guildhall, Swansea

Wednesday, 3 April 2019 at 2.00 pm

### Present:

#### Councillor(s)

M C Child  
C E Lloyd

#### Councillor(s)

W Evans  
J A Raynor

#### Councillor(s)

R Francis-Davies  
M Thomas

### Also Present:

J E Burtonshaw

E J King

### Officer(s)

Ryan Colamazza  
Chris Francis

Claire Lewis  
Allison Lowe  
Debbie Smith  
Gaynor Winsor

Trainee Solicitor  
Principal Business Development and Commissioning  
Lead  
School Project Business Case Development Manager  
Democratic Services Officer  
Deputy Chief Legal Officer  
Accountant

### Apologies for Absence

Councillor(s): A S Lewis, M Sherwood and R C Stewart

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## 96 Election of Chair Pro Tem.

**Resolved** that Councillor M C Child be elected Chair Pro Tem.

**Councillor M C Child (Chair Pro Tem) presided.**

## 97 Disclosures of Personal and Prejudicial Interests.

In accordance with the Code of Conduct adopted by the City & County of Swansea, the following interests were declared:

Councillor J E Burtonshaw declared a personal interest in Minute 99 "Flying Start Capital Bid 2019/20" as Governor of Portmead Primary School;

Councillor E J King declared a personal interest in Minute 99 "Flying Start Capital Bid 2019/20" as Governor of Gors Primary School.

**98 Minutes.**

**Resolved** that the Minutes of the External Funding panel held on 6 March 2019 be approved and signed as a correct record.

**99 Flying Start Capital Bid 2019/20.**

The School Project Business Case Development Manager presented a report to outline the capital proposal included in the Capital Funding Application submitted to the Welsh Government in respect of the Flying Start Programme 2019/20.

The WG has asked Local Authorities to bid for additional capital funding to help maintain the standards of provision for existing Flying Start settings. They have advised that this request does not represent a commitment that funding is available this financial year, and authorities are not invited to submit bids to increase or expand Flying Start provision at this time. The main focus of the funding is to address business continuity and health and safety issues.

**Councillor C E Lloyd (Vice Chair) presided.**

**Resolved** that the bid as detailed, together with financial implications, be included in the capital programme, in anticipation of the bid being approved by Welsh Government.

**100 Grant to Support Reducing the Need for Children to Enter Care in 2018-19.**

The Principal Business Development and Commissioning Lead presented a report to advise the Panel of the award of funding in relation to the Grant to Support Reducing the Need for Children to Enter Care in 2018/19.

Welsh Government awarded the grant directly and did not require an application from the Council. Allocations have been offered to all Welsh Councils in recognition of the financial pressures faced by Councils as they seek to manage escalating demand for statutory Child and Family Services. The offer to this Council is for £76,620 to be paid out within the 2018/19 financial year.

**Resolved** that the Panel note the implications contained in the report and retrospectively approve the acceptance of the Grant.

The meeting ended at 2.15 pm

**Chair**

# Agenda Item 4



## Report of the Cabinet Member for Homes and Energy

External Funding Panel – 5 June 2019

### **Innovative Housing Programme Phase 3 - To Support the Delivery of 20,000 New Affordable Homes in Wales**

<b>Purpose:</b>	To provide details of the Welsh Government Innovative Housing Programme -To support the delivery of 20,000 new affordable homes in Wales
<b>Policy Framework:</b>	More Homes Strategy, Local Housing Strategy, Policy Commitments – Tackling Poverty
<b>Consultation:</b>	Access to Services, Finance, Legal.
<b>Recommendation(s):</b>	It is recommended that:  1) Approval is given to submit a funding bid for Innovative Housing Programme (IHP3) for the Hillview and Beaconsview 'Homes as Power Stations' Scheme.
<b>Report Author:</b>	Carol Morgan
<b>Finance Officer:</b>	Ben Smith
<b>Legal Officer:</b>	Lyndsey Thomas
<b>Access to Services Officer:</b>	Rhian Miller

#### **1. Introduction**

- 1.1 The purpose of this paper is to provide the External Funding Panel with the background regarding a Welsh Government (WG) grant opportunity that will be used to support the Council's More Homes Programme.

#### **2. Innovative Housing Programme (IHP3)**

- 2.1 WG have announced the third round of IHP funding. IHP was introduced in 2017 by WG to support the delivery of innovative housing models in Wales and help to inform WG about the types of homes it should be delivering in the future.

- 2.2 This funding will be available to support the extra over costs for additional innovation only, it does not support the wider scheme build costs. The External Funding Panel has previously approved a bid for Phase 1 of this funding for the Colliers Way Passivhaus scheme and a bid for Phase 2 to support the Parc yr Helyg and Colliers Way 2 schemes.
- 2.3 It is now proposed that a further scheme is submitted under the IHP3 project. This scheme is Hillview and Beaconsview in the Clase area of Swansea which will deliver 25 new homes. The bid will be made under the City Deal Homes as Power Stations umbrella and will, if successful, support the City Deal project and help to promote Swansea as a leader in low carbon construction. The innovation being proposed is in the form of renewable technology, combining a fabric first approach with integrated solar roofs, battery storage, air source heat pumps, and mechanical heat ventilation recovery systems. As the innovation being proposed falls under the City Deal Homes as Power Stations (HAPS) umbrella it is hoped that WG will recognise the importance of the HAPS City Deal project and support the scheme.
- 2.4 Schemes must meet the following criteria to be considered for IHP3:
- Signed build contract before 5<sup>th</sup> March 2020 with start on site no later than 30 April 2020.
  - All schemes must participate in monitoring and evaluation.

### **3. Equality and Engagement Implications**

- 3.1 The Council is subject to the Public Sector Equality Duty (Wales) and must, in the exercise of their functions, have due regard to the need to:
- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act.
  - Advance equality of opportunity between people who share a protected characteristic and those who do not.
  - Foster good relations between people who share a protected characteristic and those who do not.

Our Equality Impact Assessment process ensures that we have paid due regard to the above.

- 3.2 An Equality Impact Assessment (EIA) screening tool confirms that a full EIA is not required at this stage. We will revisit the assessment if the funding bid is successful.

### **4. Financial Implications**

- 4.1 This funding bid is for the innovation elements only, it does not include any build costs. The scheme has been included in the Housing Revenue Account (HRA) Development programme which has been approved by

Cabinet. The HRA More Homes budget will be used to fund the build costs. No match funding is required towards the innovation element of the scheme. Innovation in the form of renewable technologies can be removed from the scheme if the bid is unsuccessful. Exact costs for the innovation element are unknown at this point but are estimated to be in the region of £825k.

	2019/20 £	2020/21 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital –							
Revenue							
Grant applied for:							
Capital		825,000					
Revenue							
<b>Deficit</b>		<b>0</b>					

## 5. Legal Implications

- 5.1 The Housing (Wales) Act 2014 sets out the Welsh Government’s vision for housing in Wales and provides the framework to assist local housing authorities in Wales to provide affordable new homes.
- 5.2 The Council will need to ensure that it complies with any terms and conditions attached to this Welsh Government grant funding.
- 5.3 The grant is being delivered under section 31 of the Local Government Act 2003. As the funding will be for housing purposes and relate to land held within the HRA, the Authority will be able to credit the HRA with the funding under item 4 of Part 1 of Schedule 4 to the Local Government and Housing Act 1989.
- 5.4 Separate legal advice will be required on title issues on a scheme by scheme basis. Furthermore, it is a pre-funding condition that the Authority will be required to provide the Welsh Government with a legal charge over the freehold or leasehold property that is subject to a scheme. Such a restriction will prevent the disposal of the property without the consent of the Welsh Government.
- 5.5 Separate legal advice will be required regarding procurement and state aid. Contracts over OJEU thresholds will need to comply with European Procurement Directives.
- 5.6 Section 106 agreements will be required between the Authority and developers stipulating conditions or obligations on development during

the process.

5.7 Separate professional advice will also be needed in relation to valuations.

**Background Papers:** NGA1 Form, IHP3 papers

**Appendices:**

Appendix A EIA Screening Form

# Equality Impact Assessment Screening Form – Appendix A

Please ensure that you refer to the Screening Form Guidance while completing this form. If you would like further guidance please contact your directorate support officer or the Access to Services team (see guidance for details).

## Section 1

Which service area and directorate are you from?

Service Area: Housing & Public Protection

Directorate: Place

### Q1(a) WHAT ARE YOU SCREENING FOR RELEVANCE?

Service/ Function	Policy/ Procedure	Project	Strategy	Plan	Proposal
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### (b) Please name and describe below

Bid for Welsh Government Affordable Housing Grant and Innovative Housing Programme to support build projects as part of the Council's 'More Council Homes Strategy'

### Q2(a) WHAT DOES Q1a RELATE TO?

Direct front line service delivery	Indirect front line service delivery	Indirect back room service delivery
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (L)

### (b) DO YOUR CUSTOMERS/CLIENTS ACCESS THIS...?

Because they need to	Because they want to	Because it is automatically provided to everyone in Swansea	On an internal basis i.e. Staff
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (M)	<input type="checkbox"/> (L)

### Q3 WHAT IS THE POTENTIAL IMPACT ON THE FOLLOWING...

	High Impact (H)	Medium Impact (M)	Low Impact (L)	Don't know (H)
Children/young people (0-18) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Any other age group (18+) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Disability →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Gender reassignment →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Marriage & civil partnership →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Pregnancy and maternity →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Race →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Religion or (non-)belief →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sex →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Sexual Orientation →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Welsh Language →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Poverty/social exclusion →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Carers (inc. young carers) →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Community cohesion →	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>



# Equality Impact Assessment Screening Form – Appendix A

**Q4 HAVE YOU / WILL YOU UNDERTAKE ANY PUBLIC CONSULTATION AND ENGAGEMENT RELATING TO THE INITIATIVE?**

YES  NO (If NO, you need to consider

**If yes, please provide details below**

Public consultation carried out as part of any planning application

**Q5(a) HOW VISIBLE IS THIS INITIATIVE TO THE GENERAL PUBLIC?**

High visibility  
 (H)

Medium visibility  
 (M)

Low visibility  
 (L)

**(b) WHAT IS THE POTENTIAL RISK TO THE COUNCIL'S REPUTATION?**  
*(Consider the following impacts – legal, financial, political, media, public perception etc...)*

High risk  
 (H)

Medium risk  
 (M)

Low risk  
 (L)

**Q6 Will this initiative have an impact (however minor) on any other Council service?**

Yes  No **If yes, please provide details below**

May have an impact on legal services, commercial services, CB&PS, Transport, Planning and Education.

**Q7 HOW DID YOU SCORE?**

*Please tick the relevant box*

**MOSTLY H and/or M → HIGH PRIORITY →  EIA to be completed  
Please go to Section 2**

**MOSTLY L → LOW PRIORITY / NOT RELEVANT →  Do not complete EIA  
Please go to Q8 followed by Section 2**

**Q8 If you determine that this initiative is not relevant for a full EIA report, you must provide adequate explanation below. In relation to the Council's commitment to the UNCRC, your explanation must demonstrate that the initiative is designed / planned in the best interests of children (0-18 years). For Welsh language, we must maximise positive and minimise adverse effects on the language and its use. Your explanation must also show this where appropriate.**

The More Council Homes Strategy sets out the Council's approach for HRA investment in new affordable housing. The housing will be delivered via the strategy, and will take into account current and projected need, location and available resources. These new developments will be let in accordance with the Council's Allocations Policy, which has already been subjected to a EIA report. At this stage will be little or no impact regarding the Welsh language or commitment to the UNCRC. If the funding bid is successful we will undertake a further assessment to ensure that the project is paying regard to any potential equality implications.

# Equality Impact Assessment Screening Form – Appendix A

## Section 2

NB: Please email this completed form to the Access to Services Team for agreement before obtaining approval from your Head of Service. Head of Service approval is only required via email – no electronic signatures or paper copies are needed.

<b>Screening completed by:</b>	
Name:	Carol Morgan
Job title:	More Homes Development Manager
Date:	13.5.19
<b>Approval by Head of Service:</b>	
Name:	Mark Wade
Position:	Head of Housing & Public Health
Date:	16.5.19

Please return the completed form to [accesstoservices@swansea.gov.uk](mailto:accesstoservices@swansea.gov.uk)